

# Tilting Equivalences for Grothendieck Categories

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*Communicated by Kent R. Fuller*

Received May 4, 1999

## INTRODUCTION

In the past few years the study of tilting modules over arbitrary rings has been a very active subject. Many authors have succeeded in establishing and then generalizing theorems in this field—among them Happel and Ringel, Assem, Bongartz, Miyashita, Colby and Fuller. One of their goals was to state a general form of the celebrated Brenner and Butler theorem [4] and to extend results about tilting torsion theories (i.e., torsion theories generated by tilting modules) and tilting counter-equivalences. Recently Colpi [7] characterized tilting modules in terms of classes of modules and later [8] he was able to establish a theory of tilting objects in a Grothendieck category; he showed, in particular, that a tilting module in a closed category of modules (see Remark 1.2) is just a  $*$ -module.

During the meeting “Some trends in algebra” in Prague (1997), we had a conversation with R. Colpi and H. Krause regarding the possibility of studying tilting counter-equivalences (à la Colby and Fuller [6]) between two Grothendieck categories. This paper is a step in this direction.

First (Sections 1 and 3) we study the general situation, giving generalizations of results about tilting counter-equivalences. Let  $(\mathcal{T}_1, \mathcal{F}_1)$  and  $(\mathcal{T}_2, \mathcal{F}_2)$  be torsion theories in the Grothendieck categories  $\mathcal{C}_1$  and  $\mathcal{C}_2$ , respectively, such that (1) every object in  $\mathcal{C}_1$  is a subobject of an object in  $\mathcal{T}_1$  and (2) every object in  $\mathcal{C}_2$  is a quotient object of an object in  $\mathcal{T}_2$ . Assume we are given a pair of adjoint functors  $F: \mathcal{C}_1 \rightarrow \mathcal{C}_2$ ,  $G: \mathcal{C}_2 \rightarrow \mathcal{C}_1$  ( $F$  right adjoint to  $G$ ) such that  $F$  and  $G$  induce an equivalence between  $\mathcal{T}_1$  and  $\mathcal{T}_2$ : this setting will be named  $\mathcal{C}_1$ - $\mathcal{C}_2$ -tilting equivalence. We prove that  $\mathcal{F}_1$  is the kernel of the first right derived functor of  $F$  and the other right

derived functors of  $F$  are zero. We show also that, even when the categories involved here have not enough projectives, it is possible to define a left derived functor of  $G$  in such a way that  $\mathcal{T}_2$  is the kernel of this derived functor. Thus we are able to give a wide generalization of the Brenner and Butler theorem (see Theorem 3.7). In particular we find that the derived functors of  $F$  and  $G$  induce an equivalence between  $\mathcal{T}_1$  and  $\mathcal{T}_2$ .

In Section 2 we show another approach to tilting equivalences, starting with a pair of adjoint functors; this approach shows that “maximal equivalences” are indeed tilting equivalences, provided we restrict in a suitable way the categories involved.

In Section 4 we apply the theory to the case of rings with local units, getting some generalizations of results by Colpi [8].

Section 5 analyzes the behavior of finitely generated objects under tilting equivalences and an open problem is presented.

*Notations and conventions.* All categories and functors will be additive. Every subcategory we consider is full and is assumed to contain every object isomorphic to one of its objects. If  $F: \mathcal{A} \rightarrow \mathcal{B}$  is a functor, we denote by  $\text{im } F$  the full subcategory of  $\mathcal{B}$  consisting of the objects isomorphic to  $F(X)$ , for  $X \in \mathcal{A}$ , and by  $\ker F$  the full subcategory of  $\mathcal{A}$  consisting of the objects  $X \in \mathcal{A}$  such that  $F(X) \cong 0$ .

Our notion of *torsion theory* in a Grothendieck category is the usual one: in particular we do *not* require that torsion theories are hereditary.

Direct limits will be called *colimits*. Rings will be unital, with the exception of Section 4, where non-unital rings are considered. All modules will be unital, where a right  $R$ -module  $M$  is unital if  $MR = M$ .

I have to thank the “Cotilting” group: Lidia Angeleri Hügel, Gabriella D’Este, Alberto Tonolo, Jan Trlifaj, and especially Riccardo Colpi for many fruitful conversations about this subject.

I also have to thank the referee for many suggestions on how to improve the exposition.

## 1. TILTING EQUIVALENCES BETWEEN GROTHENDIECK CATEGORIES

1.1. DEFINITION. Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be Grothendieck categories. A  $\mathcal{C}_1$ - $\mathcal{C}_2$ -*pretilting equivalence* consists of:

- (a) a torsion theory  $(\mathcal{T}_1, \mathcal{F}_1)$  on  $\mathcal{C}_1$ ;
- (b) a torsion theory  $(\mathcal{T}_2, \mathcal{F}_2)$  on  $\mathcal{C}_2$ ;
- (c) an equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{F}_2: G$ .

A  $\mathcal{E}_1$ - $\mathcal{E}_2$ -pretilting equivalence is called a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence if:

- (1) every object of  $\mathcal{E}_1$  is isomorphic to a subobject of an object in  $\mathcal{T}_1$ ;
- (2) every object of  $\mathcal{E}_2$  is isomorphic to a quotient of an object in  $\mathcal{T}_2$ .

Note that condition (1) is equivalent to the requirement that every injective object in  $\mathcal{E}_1$  belongs to  $\mathcal{T}_1$ ; condition (2) implies that every projective object in  $\mathcal{E}_2$  belongs to  $\mathcal{T}_2$ .

Since either the torsion class or the torsion-free class identifies a torsion theory, we can speak of the  $\mathcal{E}_1$ - $\mathcal{E}_2$ -pretilting equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$ .

The generalizations of the Brenner–Butler theorem given by Colby and Fuller tell us that every tilting module  $P_R$  (where  $R$  is a ring) yields a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence, where  $\mathcal{E}_1 = \text{Mod-}R$  and  $\mathcal{E}_2 = \text{Mod-End}(P_R)$ ,  $\mathcal{T}_1 = \text{Gen}(P_R)$ ,  $F = \text{Hom}_R(P, \cdot)$ ,  $\mathcal{T}_2 = \text{im } F$  and  $G = \cdot \otimes_{\text{End}(P_R)} P$ .

**1.2. Remark.** A very important class of Grothendieck categories is provided by *closed categories of modules*: let  $R$  be a ring and consider a subcategory  $\mathcal{E}$  of  $\text{Mod-}R$  closed under submodules, quotients, and direct sums: then  $\mathcal{E}$  is a Grothendieck category. In the paper [8], Colpi showed that a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence, where  $\mathcal{E}_1$  is a closed category of modules and  $\mathcal{E}_2 = \text{Mod-}S$ , for some ring  $S$ , is represented by a  $*$ -module  $P$ , in the sense that  $S \cong \text{End}(P_R)$ ,  $F \cong \text{Hom}_R(P, \cdot)$ , and  $G \cong \cdot \otimes_S P$ .

Closed categories of modules in  $\text{Mod-}R$  are in one-to-one correspondence with right linear topologies on  $R$ . This class of Grothendieck categories has been extensively studied—see, for example, Wisbauer’s book [26].

**1.3. PROPOSITION.** *Assume a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -pretilting equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$  is given. Then there exist functors  $\tilde{F}: \mathcal{E}_1 \rightarrow \mathcal{E}_2$  and  $\tilde{G}: \mathcal{E}_2 \rightarrow \mathcal{E}_1$  which extend  $F$  and  $G$ ; moreover  $\tilde{F}$  can be chosen as a right adjoint to  $\tilde{G}$ .*

*Proof.* Let  $t_i$  be the torsion radical associated to the torsion theory  $(\mathcal{T}_i, \mathcal{T}_i^\perp)$  ( $i = 1, 2$ ). Define an action on the objects as

$$\tilde{F}(M) = F(t_1(M)) \quad \text{and} \quad \tilde{G}(N) = G(N/t_2(N)).$$

The action on morphisms is the obvious one. It is only a matter of calculations to show that indeed  $\tilde{F}$  is a right adjoint of  $\tilde{G}$ . ■

When we will be given a pretilting equivalence, we will always consider the functors extended as in the preceding proposition and write simply  $F$  and  $G$ , instead of  $\tilde{F}$  and  $\tilde{G}$ . In view of this, the definition of a pretilting equivalence can be reformulated as follows: a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -pretilting equivalence

consists of:

- (1) a torsion theory  $(\mathcal{T}_1, \mathcal{F}_1)$  on  $\mathcal{C}_1$ ;
- (2) a torsion theory  $(\mathcal{T}_2, \mathcal{F}_2)$  on  $\mathcal{C}_2$ ;
- (3) a pair of functors  $F: \mathcal{C}_1 \rightleftarrows \mathcal{C}_2 : G$ , such that  $F$  is a right adjoint of  $G$ ,  $\text{im } G = \mathcal{T}_2$ , and  $\text{im } F = \mathcal{F}_2$ ;
- (4) an equivalence induced by  $F$  and  $G$  between their images.

The unit and the counit of the adjunction will be denoted respectively by

$$\sigma_N: N \rightarrow FG(N) \quad \text{and} \quad \rho_M: GF(M) \rightarrow M.$$

Note that  $F$  and  $G$  induce an equivalence between their images if and only if the unit and the counit are isomorphisms for objects in the images [18]. Easy arguments yield that  $\sigma_N$  is surjective for all  $N \in \mathcal{C}_2$  and  $\rho_M$  is injective for all  $M \in \mathcal{C}_1$  (see Section 2).

A useful lemma was discovered by Colpi and Menini [9, Proposition 1.1] and generalized by Colpi [8, Lemma 1.5].

**1.4. LEMMA.** *Let  $\mathcal{G}$  and  $\mathcal{H}$  be Abelian categories; let  $\mathcal{G}_0 \subseteq \mathcal{G}$  and  $\mathcal{H}_0 \subseteq \mathcal{H}$  be full subcategories, each one closed either under subobjects or factor objects. Let  $H: \mathcal{G} \rightleftarrows \mathcal{H} : T$  be a pair of functors with  $H$  a right adjoint of  $T$ , with unit  $\sigma: 1 \rightarrow HT$  and counit  $\rho: TH \rightarrow 1$ . Then:*

- (1) *if  $\rho_M$  is an isomorphism for every  $M \in \mathcal{G}_0$ , then  $T$  preserves exactness of all short exact sequences with objects in  $H(\mathcal{G}_0)$ ;*
- (2) *if  $\sigma_N$  is an isomorphism for every  $N \in \mathcal{H}_0$ , then  $H$  preserves exactness of all short exact sequences with objects in  $T(\mathcal{H}_0)$ .*

This lemma applies, in particular, when we are given a  $\mathcal{C}_1$ - $\mathcal{C}_2$ -pretilting equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{F}_2 : G$ .

Since  $F$  is left exact and  $\mathcal{C}_1$  has enough injective objects, we can define the right derived functors  $F^{(i)}$ ,  $i \geq 0$ ; we denote  $F^{(1)}$  simply by  $F'$ .

**1.5. PROPOSITION.** *Let  $F: \mathcal{T}_1 \rightleftarrows \mathcal{F}_2 : G$  be a  $\mathcal{C}_1$ - $\mathcal{C}_2$ -tilting equivalence. Then:*

- (1)  $\mathcal{T}_1 = \ker F'$ ;
- (2)  $F^{(i)} = 0$ , for  $i \geq 2$ .
- (3) for every  $M \in \mathcal{C}_1$ ,  $M \in \mathcal{T}_1$  if and only if  $F(M) = 0$ ;
- (4) for every  $M \in \mathcal{C}_1$ ,  $F'(M) \in \mathcal{F}_2$ .

*Proof.* Let  $M \in \mathcal{C}_1$  and consider an exact sequence  $0 \rightarrow M \rightarrow M_1 \rightarrow M_2 \rightarrow 0$ . We can write the long exact sequence

$$\begin{aligned} 0 \rightarrow F(M) \rightarrow F(M_1) \rightarrow F(M_2) \rightarrow F'(M) \rightarrow F'(M_1) \rightarrow F'(M_2) \\ \rightarrow F^{(2)}(M) \rightarrow \dots \rightarrow F^{(n-1)}(M_2) \rightarrow F^{(n)}(M) \rightarrow \dots \end{aligned}$$

of the derived functors. Assume now that  $M_1$  is injective. Then  $M_1, M_2 \in \mathcal{T}_1$  and  $F^{(i)}(M_1) = 0$ , for all  $i \geq 1$ .

If  $M \in \mathcal{T}_1$ , then, by Lemma 1.4, the morphism  $F(M_1) \rightarrow F(M_2)$  is epic, so that  $F'(M) = 0$ . Hence  $\mathcal{T}_1 \subseteq \ker F'$  and  $F^{(i)} = 0$ , for  $i \geq 2$ , by induction.

Conversely, assume that  $M \in \ker F'$ . Then we can apply the functor  $G$  (which is right exact) to the exact sequence  $0 \rightarrow F(M) \rightarrow F(M_1) \rightarrow F(M_2) \rightarrow 0$ , getting the commutative diagram

$$\begin{array}{ccccccc} GF(M) & \longrightarrow & GF(M_1) & \longrightarrow & GF(M_2) & \longrightarrow & 0 \\ \downarrow \rho_M & & \downarrow \rho_{M_1} & & \downarrow \rho_{M_2} & & \\ 0 & \longrightarrow & M & \longrightarrow & M_1 & \longrightarrow & M_2 \longrightarrow 0 \end{array}$$

with exact rows. Since  $\rho_{M_1}$  and  $\rho_{M_2}$  are isomorphism, the “five lemma” shows that  $\rho_M$  is epic. Hence  $M \in \mathcal{T}_1$ , since  $GF(M) \in \mathcal{T}_1$ .

The definition of  $F$  tells us that  $F(M) \cong F(t_1(M))$ ; hence  $F(M) = 0$  if and only if  $t_1(M) = 0$ ; i.e.,  $M \in \mathcal{T}_1$ .

Consider now  $M \in \mathcal{E}_1$ : we have to show that  $F'(M) \in \mathcal{T}_2$ . Take the exact sequence  $0 \rightarrow t_1 M \rightarrow M \rightarrow M/t_1 M \rightarrow 0$ ; applying it to the functor  $F$ , we get, by the above results, that  $F'(M) \cong F'(M/t_1 M)$ . We can thus assume  $M \in \mathcal{T}_1$ , so  $F(M) = 0$ . Thus, as above, we get an exact sequence  $0 \rightarrow F(M_1) \rightarrow F(M_2) \rightarrow F'(M) \rightarrow 0$ ; applying  $G$ , we get the diagram

$$\begin{array}{ccccccc} GF(M_1) & \longrightarrow & GF(M_2) & \longrightarrow & GF'(M) & \longrightarrow & 0 \\ \downarrow \rho_{M_1} & & \downarrow \rho_{M_2} & & & & \\ M_1 & \longrightarrow & M_2 & \longrightarrow & & & 0 \end{array}$$

with exact rows. By the “five lemma,”  $GF'(M) = 0$  and so  $F'(M) \in \mathcal{T}_2$ . ■

We now give a characterization of the objects in  $\mathcal{T}_2$ . Note that, if we could write the derived functors of  $G$  (i.e., if we had enough projective objects in  $\mathcal{E}_2$ ), the condition would read:  $N \in \mathcal{T}_2$  if and only if  $N \in \ker G'$ .

**1.6. LEMMA.** *Let  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2 : G$  be a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence and let  $N \in \mathcal{E}_2$ . The following conditions are equivalent:*

- (a)  $N \in \mathcal{T}_2$ ;
- (b) *there exists an exact sequence  $0 \rightarrow N_2 \rightarrow N_1 \rightarrow N \rightarrow 0$ , with  $N_1, N_2 \in \mathcal{T}_2$ , such that the sequence  $0 \rightarrow GN_2 \rightarrow GN_1 \rightarrow GN \rightarrow 0$  is exact;*
- (c) *for any exact sequence  $0 \rightarrow N_2 \rightarrow N_1 \rightarrow N \rightarrow 0$ , with  $N_1, N_2 \in \mathcal{T}_2$ , the sequence  $0 \rightarrow GN_2 \rightarrow GN_1 \rightarrow GN \rightarrow 0$  is exact.*

*Proof.* (a)  $\Rightarrow$  (c) Let  $N \in \mathcal{F}_2$  and let

$$0 \rightarrow N_2 \xrightarrow{f_2} N_1 \xrightarrow{f_1} N \rightarrow 0$$

be an exact sequence with  $N_1, N_2 \in \mathcal{F}_2$ . The conclusion follows from Lemma 1.4.

(c)  $\Rightarrow$  (b) is obvious, since at least one such sequence exists.

(b)  $\Rightarrow$  (a) Applying  $F$  to the sequence  $0 \rightarrow GN_2 \rightarrow GN_1 \rightarrow GN \rightarrow 0$  gives an exact sequence, since  $GN_2 \in \mathcal{F}_1 = \ker F'$ . Hence the canonical morphism  $\sigma_N: N \rightarrow FGN$  is an isomorphism and  $N \in \mathcal{F}_2 = \text{im } F$ . ■

## 2. \*-PAIRS OF FUNCTORS

Another approach to tilting equivalences is possible; the subject of this section stems from Menini and Orsatti [18] and Colpi [7]. I am grateful to Claudia Menini who allowed me to use some material developed by her.

For all this section we will assume the following situation:  $\mathcal{E}_1$  and  $\mathcal{E}_2$  are Grothendieck categories and

$$F: \mathcal{E}_1 \rightleftarrows \mathcal{E}_2 : G$$

is a pair of adjoint functors, with  $F$  a right adjoint of  $G$ . We denote as before by  $\rho$  and  $\sigma$  the counit and the unit of the adjunction

$$\rho_M: GFM \rightarrow M, \quad \sigma_N: N \rightarrow FGN$$

for  $M \in \mathcal{E}_1$  and  $N \in \mathcal{E}_2$ . Let  $\mathcal{D}_1$  be the full subcategory of  $\mathcal{E}_1$  consisting of those objects  $M \in \mathcal{E}_1$  such that  $\rho_M$  is epic; analogously, let  $\mathcal{D}_2$  be the full subcategory of  $\mathcal{E}_2$  consisting of those objects  $N \in \mathcal{E}_2$  such that  $\sigma_N$  is monic.

**2.1. PROPOSITION.** *The class  $\mathcal{D}_1$  is closed under quotient objects and coproducts; the class  $\mathcal{D}_2$  is closed under subjects and products. Moreover  $\text{im } F \subseteq \mathcal{D}_2$  and  $\text{im } G \subseteq \mathcal{D}_1$ .*

*Proof.* If  $f: M_1 \rightarrow M_2$  is epic, then  $\rho_{M_2} \circ GFf = f \circ \rho_{M_1}$ , so that  $\rho_{M_2}$  is epic if  $\rho_{M_1}$  is.

If  $M_\lambda$  is a family in  $\mathcal{E}_1$ , then it is easy to verify that the canonical morphism  $\coprod GFM_\lambda \rightarrow GF(\coprod M_\lambda)$  composed with the counit is the co-

product of the counits: the diagram

$$\begin{array}{ccc} \coprod GFM_\lambda & \longrightarrow & GF(\coprod M_\lambda) \\ & \searrow & \downarrow \\ & & \coprod M_\lambda \end{array}$$

is commutative.

Let  $N \in \mathcal{E}_2$ ; then  $\rho_{GN} \circ G(\sigma_N)$  is the identity, so  $\rho_{GN}$  is a splitting epic.

The other assertions are proved in a similar way. Note that  $\sigma_{FM}$  is a splitting monic, for all  $M \in \mathcal{E}_2$ . ■

**2.2. LEMMA.** *Let  $M \in \mathcal{E}_1$  and  $N \in \mathcal{E}_2$ ; consider the canonical factorizations*

$$\begin{array}{ccc} GFM & \xrightarrow{\rho_M} & M \\ \bar{\rho}_M \searrow & & \nearrow i_M \\ & \text{im } \rho_M & \end{array} \quad \begin{array}{ccc} N & \xrightarrow{\sigma_N} & FGN \\ \bar{\sigma}_N \searrow & & \nearrow j_N \\ & \text{im } \sigma_N & \end{array}$$

*Then  $\text{im } \rho_M \in \mathcal{D}_1$ ,  $\text{im } \sigma_N \in \mathcal{D}_2$ , and  $Fi_M$  and  $Gj_N$  are isomorphisms.*

*Proof.* Since  $\bar{\rho}_M$  is epic and  $GFM \in \text{im } G$ ,  $\text{im } \rho_M \in \mathcal{D}_1$ , by the preceding proposition. Moreover  $F$  is left exact, so that  $Fi_M$  is monic. Moreover  $F\rho_M \circ \sigma_{FM}$  is the identity, so  $F\rho_M$  is epic; hence also  $Fi_M$  must be epic. In a similar way we conclude for the other parts. ■

**2.3. DEFINITION.** The pair of functors  $(F, G)$  is called a *\*-pair* if it induces an equivalence between  $\mathcal{D}_1$  and  $\mathcal{D}_2$ . It is called a *strong \*-pair* if, moreover, every object of  $\mathcal{E}_1$  is a subobject of an object in  $\mathcal{D}_1$  and every object of  $\mathcal{E}_2$  is a quotient of an object in  $\mathcal{D}_2$ .

The name *\*-pair* comes from the notion of a *\*-module*. A module  $P_R$  is called a *\*-module* if the functors  $H = \text{Hom}_R(P, \cdot)$  and  $T = \cdot \otimes_S P$  induce an equivalence between  $\text{Gen}(P_R)$  (the class of  $P$ -generated  $R$ -modules) and  $\text{Cogen}(P_S^*)$  (the class of  $P^*$ -cogenerated  $S$ -modules), where  $S = \text{End}(P_R)$ ,  $W_R$  is an injective cogenerator of  $\text{Mod-}R$ , and  $P^* = H(W)$ . In this case  $\mathcal{E}_1 = \text{Mod-}R$ ,  $\mathcal{E}_2 = \text{Mod-}S$ ,  $\mathcal{D}_1 = \text{Gen}(P_R)$ , and  $\mathcal{D}_2 = \text{Cogen}(P_S^*)$ .

As is clear from the definition, a *\*-pair* induces a “maximal equivalence,” in the sense that  $\mathcal{D}_1$  and  $\mathcal{D}_2$  are the largest subcategories where  $F$  and  $G$  can induce an equivalence. We want to show that such maximal equivalences are just tilting equivalences, when we restrict the domains of the functors (see Theorem 2.8).

2.4. THEOREM. *The following statements are equivalent:*

- (a)  $(F, G)$  is a  $*$ -pair;
- (b)  $\rho_M$  is monic for all  $M \in \mathcal{D}_1$  and  $\sigma_N$  is epic for all  $N \in \mathcal{D}_2$ ;
- (c)  $\rho_M$  is monic for all  $M \in \mathcal{E}_1$  and  $\sigma_N$  is epic for all  $N \in \mathcal{E}_2$ .

*Proof.* We need only to prove (b)  $\Rightarrow$  (c).

Let  $M \in \mathcal{E}_2$  and set  $M' = \text{im } \rho_M$ ; we know from Lemma 2.2 that  $Fi_M$  is an isomorphism. As  $i_M \circ \rho_{M'} = \rho_M \circ GFi_M$  is monic, then  $\rho_M$  is monic. In a similar way we conclude for the other parts. ■

2.5. Remark. Denote by  $\bar{\mathcal{D}}_1$  the full subcategory of  $\mathcal{E}_1$  consisting of the subobjects of objects in  $\mathcal{D}_1$ ; analogously, denote by  $\bar{\mathcal{D}}_2$  the full subcategory of  $\mathcal{E}_2$  consisting of the quotients of objects in  $\mathcal{D}_2$ . Then  $\bar{\mathcal{D}}_1$  and  $\bar{\mathcal{D}}_2$  are Grothendieck categories and we can regard  $(F, G)$  as a pair of adjoint functors between  $\bar{\mathcal{D}}_1$  and  $\bar{\mathcal{D}}_2$ .

2.6. PROPOSITION. *Let  $(F, G)$  be a  $*$ -pair and let the sequence  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  be exact, where  $M', M'' \in \mathcal{D}_1$  and  $M \in \bar{\mathcal{D}}_1$ . Then  $M \in \mathcal{D}_1$ .*

*Proof.* Consider a monic  $M \rightarrow M_1$ , where  $M_1 \in \mathcal{D}_1$ ; then form the push-out diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & M' & \longrightarrow & M & \longrightarrow & M'' \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \longrightarrow & M' & \longrightarrow & M_1 & \longrightarrow & M''' \longrightarrow 0 \\
 & & & & \downarrow & & \downarrow \\
 & & & & M_2 = M_2 & & \\
 & & & & \downarrow & & \downarrow \\
 & & & & 0 & & 0
 \end{array}$$

Apply the functor  $F$  and recall Lemma 1.4: the sequences  $0 \rightarrow F(M'') \rightarrow F(M''') \rightarrow F(M_2) \rightarrow 0$  and  $0 \rightarrow F(M') \rightarrow F(M_1) \rightarrow F(M''') \rightarrow 0$  are exact. Thus, by diagram chasing, the sequence  $0 \rightarrow F(M) \rightarrow F(M_1) \rightarrow F(M_2) \rightarrow 0$  is exact and with objects in  $\mathcal{D}_2$ . Applying the functor  $G$  gives again an exact sequence and, by the “five lemma,”  $\rho_M$  is an isomorphism. ■

In the same way (but using pull-backs), we can prove the following result.



2.7. PROPOSITION. *Let  $(F, G)$  be a  $*$ -pair and let the sequence  $0 \rightarrow N' \rightarrow N \rightarrow N'' \rightarrow 0$  be exact, where  $N', N'' \in \mathcal{D}_2$  and  $N \in \overline{\mathcal{D}}_2$ . Then  $N \in \mathcal{D}_2$ .*

The theory developed in this section allows us to apply the results in Section 1.

2.8. THEOREM. *Let  $F: \mathcal{C}_1 \rightleftarrows \mathcal{C}_2 : G$  be a  $*$ -pair. Then this pair of functors induces a  $\overline{\mathcal{D}}_1$ - $\overline{\mathcal{D}}_2$ -tilting equivalence*

$$F: \mathcal{D}_1 \rightleftarrows \mathcal{D}_2 : G.$$

*Proof.* By Propositions 2.6 and 2.7, the class  $\mathcal{D}_1$  is a torsion class in  $\overline{\mathcal{D}}_1$  and the class  $\mathcal{D}_2$  is a torsion-free class in  $\overline{\mathcal{D}}_2$ . The other properties of a tilting equivalence follow by definition. ■

This shows that the notions of a strong  $*$ -pair and of tilting equivalence are exactly the same.

### 3. BUILDING DERIVED FUNCTORS

Throughout this section we will fix a  $\mathcal{C}_1$ - $\mathcal{C}_1$ -tilting equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2 : G$ .

Our purpose will be to build the first left derived functor of  $G: \mathcal{C}_2 \rightarrow \mathcal{C}_1$ . The problem, of course, is that the category  $\mathcal{C}_2$  need not have projective objects. However, for every  $N \in \mathcal{C}_2$ , there exists an  $\mathcal{T}_2$ -presentation

$$\mathcal{P}_N: \quad 0 \rightarrow N_2 \xrightarrow{f_2} N_1 \xrightarrow{f_1} N \rightarrow 0,$$

where  $N_1, N_2 \in \mathcal{T}_2 = \text{im } F$ . We recall also that a module  $N$  is in  $\mathcal{T}_2$  if and only if applying  $G$  to any  $\mathcal{T}_2$ -presentation of  $N$  gives an exact sequence (see 1.6).

The construction of the left derived functor will follow this pattern: (1) given an  $\mathcal{T}_2$ -presentation of  $N$ , apply the functor  $G$  and take the kernel of  $Gf_2$ ; (2) prove that (the domain of) this kernel is independent of the presentation, so that we will get a well defined action on objects; (3) define an action on morphisms, in order to get a functor.

To make notations shorter we will use symbols like before to denote  $\mathcal{T}_2$ -presentations (see, e.g., the following definition).

3.1. DEFINITION. Let  $\mathcal{P}_N$  and  $\mathcal{P}'_N$  be  $\mathcal{F}_2$ -presentations of  $N$ ; we say that  $\mathcal{P}_N$  is *over*  $\mathcal{P}'_N$  if there exists a commutative diagram with exact rows

$$\begin{array}{ccccccccc} \mathcal{P}_N: & 0 & \longrightarrow & N_2 & \xrightarrow{f_2} & N_1 & \xrightarrow{f_1} & N & \longrightarrow & 0 \\ & & & \downarrow \alpha_2 & & \downarrow \alpha_1 & & \parallel & & \\ \mathcal{P}'_N: & 0 & \longrightarrow & N'_2 & \xrightarrow{f'_2} & N'_1 & \xrightarrow{f'_1} & N & \longrightarrow & 0 \end{array}$$

where  $\alpha_1$  (hence also  $\alpha_2$ ) is epic.

3.2. PROPOSITION. Let  $\mathcal{P}'_N$  and  $\mathcal{P}''_N$  be  $\mathcal{F}_2$ -presentations of  $N$ ; then there exists an  $\mathcal{F}_2$ -presentation of  $\mathcal{P}_N$  of  $N$  which is over  $\mathcal{P}'_N$  and over  $\mathcal{P}''_N$ .

*Proof.* Take for  $N_1$  the pull-back of  $N'_1$  and  $N''_1$  over  $N$ ; then complete with the kernel. ■

Let  $\mathcal{P}$  be an  $\mathcal{F}_2$ -presentation of  $N$ ; then we set  $\mathcal{K}(\mathcal{P}) = \ker Gf_2$ . If  $\mathcal{P}$  is over  $\mathcal{P}'$ , then we get a unique morphism  $\tilde{\alpha}$  making commutative the following diagram (with exact rows):

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{K}(\mathcal{P}_N) & \longrightarrow & GN_2 & \xrightarrow{Gf_2} & GN_1 & \xrightarrow{Gf_1} & GN & \longrightarrow & 0 \\ & & \downarrow \tilde{\alpha} & & \downarrow G\alpha_2 & & \downarrow G\alpha_1 & & \parallel & & \\ 0 & \longrightarrow & \mathcal{K}(\mathcal{P}'_N) & \longrightarrow & GN'_2 & \xrightarrow{Gf'_2} & GN'_1 & \xrightarrow{Gf'_1} & GN & \longrightarrow & 0 \end{array}$$

3.3. LEMMA. The morphism  $\tilde{\alpha}$  of the preceding diagram is an isomorphism.

*Proof.* We can form the following commutative diagram, with exact rows and columns:

$$\begin{array}{ccccccc} & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \\ & & N_2 & = & N_2 & & \\ & & \downarrow k & & \downarrow f_2 & & \\ 0 & \longrightarrow & N'_2 & \xrightarrow{h} & X & \xrightarrow{q} & N_1 \longrightarrow 0 \\ & & \parallel & & \downarrow p & & \downarrow f_1 \\ 0 & \longrightarrow & N'_2 & \xrightarrow{f'_2} & N'_1 & \xrightarrow{f'_1} & N \longrightarrow 0 \\ & & & & \downarrow & & \downarrow \\ & & & & 0 & & 0 \end{array}$$

where  $X$  is the pull-back of  $f_1$  and  $f'_1$ . Now the properties of the pull-back guarantee that there exists a unique morphism  $q': N_1 \rightarrow X$  such that  $qq' = \alpha_1$ . Hence the middle row splits and there exists a unique morphism  $h': X \rightarrow N'_2$  such that  $h'h$  and  $hh' + q'q$  are the identities on  $N'_2$  and  $X$ , respectively.

We want to show that  $h'k = -\alpha_2$ . Using MacLane's trick of *members* (see [16]), let  $x \in N_2$ ; then  $k(x) = q'qk(x) + hh'k(x) = q'f_2(x) + hh'k(x)$ ; moreover

$$0 = pk(x) = pq'f_2(x) + phh'k(x) = \alpha_1 f_2(x) + f'_2 h'k(x).$$

Thus  $f'_2 h'k(x) = -\alpha_1 f_2(x) = -f'_2 \alpha_2(x)$ , so that  $h'k(x) = -\alpha_2(x)$ .

Apply now  $G$  to the preceding diagram; taking kernels, we get the commutative diagrams with exact rows and columns:

$$\begin{array}{ccccccc} & & & & 0 & & \\ & & & & \downarrow & & \\ & & 0 & & \ker Gf_2 & & \\ & & \downarrow & & \downarrow & & \\ & & GN_2 & = & GN_2 & & \\ & & Gk \downarrow & & \downarrow Gf_2 & & \\ 0 & \longrightarrow & GN'_2 & \xrightarrow{Gh} & GX & \xrightarrow{Gq} & GN_1 \longrightarrow 0 \\ & & \downarrow & & Gp \downarrow & & \downarrow Gf_1 \\ 0 & \longrightarrow & \ker Gf'_2 & \longrightarrow & GN'_2 & \xrightarrow{Gf'_2} & GN'_1 \xrightarrow{Gf'_1} GN \longrightarrow 0 \\ & & & & \downarrow & & \downarrow \\ & & & & 0 & & 0 \end{array}$$

Proceeding as in the “snake lemma,” we can define a morphism  $d: \mathcal{K}(\mathcal{P}) = \ker Gf_2 \rightarrow \mathcal{K}(\mathcal{P}') = \ker Gf'_2$  by setting  $d(t) = t'$  if and only if  $Gh(t') = Gk(t)$ . By symmetry, it is clear that  $d$  is an isomorphism. But now, for  $t \in \ker Gf_2$ , we have

$$d(t) = Gh'Gh(d(t)) = Gh'Gk(t) = -G\alpha_2(t);$$

hence  $-d = \tilde{\alpha}$ , by uniqueness of  $\tilde{\alpha}$ , and so  $\tilde{\alpha}$  is an isomorphism. ■

In this way we have covered steps (1) and (2). It remains to define the action on morphisms. The above considerations allow us to choose whatever  $\mathcal{F}_2$ -presentation of a module we need. We can thus define, for  $N \in \mathcal{C}_2$ ,

$$G'N = \mathcal{K}(\mathcal{P}_N),$$

where  $\mathcal{P}_N$  is a fixed  $\mathcal{F}_2$ -presentation of  $N$ . It is also clear that  $G'N = 0$  if and only if  $N \in \mathcal{F}_2$ .

Let  $f: M \rightarrow N$  be a morphism in  $\mathcal{E}_2$ . Then we can find  $\mathcal{F}_2$ -presentations  $\mathcal{P}_M$  and  $\mathcal{P}_N$  of  $M$  and  $N$ , respectively, and a commutative diagram

$$\begin{array}{ccccccc} \mathcal{P}_M: & 0 & \longrightarrow & M_2 & \longrightarrow & M_1 & \longrightarrow & M & \longrightarrow & 0 \\ & & & \downarrow & & \downarrow & & \downarrow f & & \\ \mathcal{P}_N: & 0 & \longrightarrow & N_2 & \longrightarrow & N_1 & \longrightarrow & N & \longrightarrow & 0 \end{array}$$

to which we can apply  $G$ , take kernels, and get a morphism  $G'f: G'M \rightarrow G'N$ . The existence of such a diagram can be stated in the following way: take an arbitrary  $\mathcal{F}_2$ -presentation for  $M$ ,

$$0 \rightarrow M'_2 \xrightarrow{g'_2} M'_1 \xrightarrow{g'_1} M \rightarrow 0,$$

and form the pull-back

$$\begin{array}{ccc} M_1 & \longrightarrow & M'_1 \\ \downarrow & & \downarrow fg'_1 \\ N_1 & \xrightarrow{f_1} & N, \end{array}$$

where  $M_1 \in \mathcal{F}_2$ ; then take  $M_2$  as the kernel of  $M_1 \rightarrow M$ . It is easy to verify that this defines a functor  $G': \mathcal{E}_2 \rightarrow \mathcal{E}_1$ .

**3.4. THEOREM.** *Let  $F: \mathcal{F}_1 \rightleftarrows \mathcal{F}_2: G$  be a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence. Then there exists a functor  $G': \mathcal{E}_2 \rightarrow \mathcal{E}_1$  which is a right adjoint to  $F'$ . Moreover:*

- (1) *the image of  $G'$  is  $\mathcal{F}_1$ ;*
- (2)  *$G'$  commutes with coproducts;*
- (3) *the restrictions of the functors  $F'$  and  $G'$  define an equivalence between  $\mathcal{F}_1$  and  $\mathcal{F}_2$ ;*
- (4) *for every exact sequence  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  in  $\mathcal{E}_2$ , there exists a morphism  $\partial: G'(N) \rightarrow G(L)$  such that the sequence*

$$0 \rightarrow G'(L) \rightarrow G'(M) \rightarrow G'(N) \xrightarrow{\partial} G(L) \rightarrow G(M) \rightarrow G(N) \rightarrow 0$$

*is exact;*

- (5)  $\mathcal{F}_2 = \ker G'$ .

*Proof.* Define  $G'$  as above; take  $N \in \mathcal{F}_2$ , and fix an  $\mathcal{F}_2$ -presentation  $\mathcal{P}_N$ ; since  $GN = 0$ , we get the exact sequence  $0 \rightarrow G'N \rightarrow GN_2 \rightarrow GN_1 \rightarrow$

0 and so the diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & N_2 & \longrightarrow & N_1 & \longrightarrow & N & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & & & \\ 0 & \longrightarrow & FG'N & \longrightarrow & FGN_2 & \longrightarrow & FGN_1 & \longrightarrow & F'G'N \longrightarrow 0 \end{array}$$

where the vertical arrows are isomorphisms. Hence  $FG'N = 0$  and  $G'N \in \mathcal{T}_1$ ; moreover this defines a unique isomorphism  $\alpha_N: N \rightarrow F'G'N$ .

Take  $M \in \mathcal{T}_1$  and an exact sequence  $0 \rightarrow M \rightarrow M_1 \rightarrow M_2 \rightarrow 0$ , where  $M_1 \in \mathcal{T}_1$ . Then we get an exact sequence

$$0 \rightarrow FM_1 \rightarrow FM_2 \rightarrow F'M \rightarrow 0$$

which is an  $\mathcal{T}_2$ -presentation of  $F'M \in \mathcal{T}_2$ ; thus we can write a diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & M & \longrightarrow & M_1 & \longrightarrow & M_2 & \longrightarrow & 0 \\ & & & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & G'F'M & \longrightarrow & GFM_1 & \longrightarrow & GFM_2 & \longrightarrow & 0 \end{array}$$

and we get a unique isomorphism  $\beta_M: M \rightarrow G'F'M$ . The uniqueness of these isomorphisms shows that they are natural, so we have an equivalence  $\mathcal{T}_1 \rightleftarrows \mathcal{T}_2$  induced by  $F'$  and  $G'$ .

The fact that  $G'$  commutes with coproducts follows easily, since a coproduct of  $\mathcal{T}_2$ -presentations is an  $\mathcal{T}_2$ -presentation of the coproduct and  $G$  commutes with coproducts, being a left adjoint.

We now consider the torsion functors  $t_1$  and  $t_2$ ; it is clear that, for  $M \in \mathcal{C}_1$  and  $N \in \mathcal{C}_2$ ,

$$F'M \cong F'(M/t_1(M)) \quad \text{and} \quad G'N \cong G'(t_2(N))$$

canonically; in particular  $\mathcal{T}_2 = \ker G'$ . We have thus showed that  $G': \mathcal{T}_2 \rightleftarrows \mathcal{T}_1: F'$  is a pretilting equivalence, so we can apply Proposition 1.3, where  $G'$  replaces  $F$  and  $F'$  replaces  $G$ . Moreover  $G'$  and  $F'$  are just the extensions mentioned in the proof of that proposition, so that  $G'$  is a right adjoint to  $F'$ .

It remains to prove the existence of a connecting morphism; assume we are given an exact sequence in  $\mathcal{C}_2$

$$0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0;$$

We can embed it into a diagram with exact rows and columns

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & L_2 & \longrightarrow & M_2 & \longrightarrow & N_2 \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & L_1 & \longrightarrow & M_1 & \longrightarrow & N_1 \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & L & \longrightarrow & M & \longrightarrow & N \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

where the rows are  $\mathcal{F}_2$ -presentations. We can apply  $G$  to this diagram, recalling that  $G'L$  is the kernel of  $GL_2 \rightarrow GL_1$ , and the same for the others: a standard application of the “snake lemma” (e.g., [22, Corollary 4.11.9]) gives the connecting morphism we are looking for. ■

The next proposition shows the behavior of  $G$  with respect to finitely generated objects. Recall that an object  $M$  in a Grothendieck category  $\mathcal{E}$  is *small* if the functor  $\mathrm{Hom}_{\mathcal{E}}(M, \cdot): \mathcal{E} \rightarrow \mathbf{Ab}$  commutes with coproducts. Every finitely generated object is small, but the converse is, in general, false.

**3.5. Remark.** The functor  $F$  commutes with coproducts, since both the classes  $\mathcal{T}_1$  and  $\mathcal{T}_2$  are closed under coproducts.

**3.6. PROPOSITION.** *Let  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$  be a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence. Then, for every small object  $N \in \mathcal{E}_2$ ,  $GN$  is small.*

*Proof.* We can use the adjunction between  $F$  and  $G$  and the fact that  $N$  is small. Indeed, let  $(X_\lambda)$  be a family of objects in  $\mathcal{E}_1$ ; then

$$\begin{aligned}
 \mathrm{Hom}\left(GN, \coprod_{\lambda} X_{\lambda}\right) &\cong \mathrm{Hom}\left(N, F\left(\coprod_{\lambda} X_{\lambda}\right)\right) && \text{(adjunction)} \\
 &\cong \mathrm{Hom}\left(N, \coprod_{\lambda} FX_{\lambda}\right) && \text{(Remark 3.5)} \\
 &\cong \coprod_{\lambda} \mathrm{Hom}(N, FX_{\lambda}) && (N \text{ is small}) \\
 &\cong \coprod_{\lambda} \mathrm{Hom}(GN, X_{\lambda}) && \text{(adjunction)}
 \end{aligned}$$

and the thesis follows. ■

We can collect everything we have done in a “tilting theorem” (notations are as at the beginning of this section).

**3.7. TILTING THEOREM.** *Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be Grothendieck categories and let  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$  be a  $\mathcal{C}_1$ - $\mathcal{C}_2$ -tilting equivalence. Then:*

- (1) *there exists a left derived functor  $G'$  of  $G$  and  $G'$  is left exact;*
- (2)  *$G'$  is a right adjoint to the first derived functor  $F'$  of  $F$ ;*
- (3) *the right derived functors  $F^{(i)}$  of  $F$  are zero, for  $i \geq 2$ ;*
- (4) *the functors  $F'$  and  $G'$  induce an equivalence between  $\mathcal{T}_1$  and  $\mathcal{T}_2$ ;*
- (5)  *$F'G$  and  $G'F$  are zero functors;*
- (6) *for any object  $M \in \mathcal{C}_1$ ,  $t_1 M \cong GFM$  and  $M/t_1 M \cong G'F'M$ , so there exists an exact sequence*

$$0 \rightarrow GFM \rightarrow M \rightarrow G'F'M \rightarrow 0;$$

- (7) *for any object  $N \in \mathcal{C}_2$ ,  $t_2 N \cong F'G'N$  and  $N/t_2 N \cong FGN$ , so there exists an exact sequence*

$$0 \rightarrow F'G'N \rightarrow N \rightarrow FGN \rightarrow 0.$$

- (8)  $\mathcal{T}_1 = \ker F'$ ,  $\mathcal{T}_1 = \ker F$ ,  $\mathcal{T}_2 = \ker G$ , and  $\mathcal{T}_2 = \ker G'$ .

We add finally a characterization of the classes involved in the tilting theorem. If  $X$  is an object in a Grothendieck category, we denote by:

- (a)  $\text{Gen}(X)$  the class of objects that are *generated* by  $X$ , i.e., all epimorphic images of coproducts of copies of  $X$ ;
- (b)  $\text{Pres}(X)$  the class of objects that are *presented* by  $X$ , i.e., all objects  $M$  admitting an exact sequence of the form

$$X^{(\alpha)} \rightarrow X^{(\beta)} \rightarrow M \rightarrow 0;$$

- (c)  $\text{Cogen}(X)$  the class of objects that are *cogenerated* by  $X$ , i.e., all subobjects of products of copies of  $X$ ;
- (d)  $\text{Copres}(X)$  the class of objects that are *copresented* by  $X$ , i.e., all objects  $M$  admitting an exact sequence of the form

$$0 \rightarrow M \rightarrow X^\alpha \rightarrow X^\beta.$$

**3.8. THEOREM.** *Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be Grothendieck categories and let  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$  be a  $\mathcal{C}_1$ - $\mathcal{C}_2$ -tilting equivalence. Assume that  $X$  is a cogenerator of  $\mathcal{C}_1$  and that  $Y$  is a generator of  $\mathcal{C}_2$ . Then:*

- (1)  $\mathcal{T}_1 = \text{Gen}(G(Y)) = \text{Pres}(G(Y))$ ;
- (2)  $\mathcal{T}_2 = \text{Cogen}(F(X)) = \text{Copres}(F(X))$ .

*Proof.* Since  $G(Y) \in \mathcal{T}_1$ , by the closure properties of  $\mathcal{T}_1$  it is sufficient to show that  $\mathcal{T}_1 \subseteq \text{Pres}(G(Y))$ . Let  $M \in \mathcal{T}_1$ ; then there exists an exact sequence  $Y^{(\alpha)} \rightarrow Y^{(\beta)} \rightarrow FM \rightarrow 0$  in  $\mathcal{E}_2$  and the conclusion follows, since  $G$  is right exact. The other statement can be proved similarly. ■

#### 4. RINGS WITH LOCAL UNITS

Rings with *local units* were introduced by Ánh and Márki [1] as those rings  $S$  not necessarily with unit in which, for any finite set  $\{s_1, s_2, \dots, s_n\}$  there exists an idempotent  $e \in S$  such that

$$es_i = s_i = s_i e \quad (i = 1, 2, \dots, n).$$

Examples of rings with local units are rings *with enough idempotents*, defined by Fuller [11]. If  $S$  is a ring with local units (for short an *lu-ring*) and  $X$  is any set, then the ring of *finite matrices over  $X$* , i.e., the ring of all  $X$ -indexed matrices in which all but a finite number of entries are zero, is again an lu-ring.

A right module  $N_S$  over an lu-ring  $S$  is called *unitary* if  $NS = S$ . In all this section we will only consider unitary modules over a fixed lu-ring  $S$ . The category  $\text{Mod-}S$  of right unitary modules over  $S$  is a Grothendieck category with enough projective objects. Indeed  $S_S$  is a generator and, for any idempotent  $e \in S$ ,  $eS$  is a finitely generated projective module.

We want now to consider a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence where  $\mathcal{E}_2 = \text{Mod-}S$ . To save on notations, we set  $\mathcal{E}_1 = \mathcal{E}$ ,  $\mathcal{T}_1 = \mathcal{T}$ ,  $\mathcal{T}_1 = \mathcal{F}$ ,  $\mathcal{T}_2 = \mathcal{T}_S$ ,  $\mathcal{T}_2 = \mathcal{F}_S$  and speak about a  *$\mathcal{E}$ - $S$ -tilting equivalence*  $F: \mathcal{T} \rightleftarrows \mathcal{F}_S$ . This setting will remain fixed up to Definition 4.5.

4.1. LEMMA. *The module  $S$  belongs to  $\mathcal{F}_S$ .*

*Proof.* The map

$$S \rightarrow \prod_{e=e^2 \in S} eS$$

is injective (note that the product is taken in the category  $\text{Mod-}S$ ). ■

If  $M, M' \in \mathcal{E}$ , then  $\text{Hom}(M, M')$  is the group of  $\mathcal{E}$ -morphisms from  $M$  to  $M'$  and  $\text{End}(M)$  denotes the endomorphism ring of  $M$ .

4.2. LEMMA. *Let  $P = G(S_S)$ ; then  $\mathcal{T} = \text{Gen}(P) = \text{Pres}(P)$  and  $S$  embeds canonically in  $\text{End}(P)$ .*

*Proof.* The first statement follows from Theorem 3.8. The second one is an easy consequence of the equivalence and the facts that  $S \in \mathcal{F}_S$  and  $S$  embeds in  $\text{End}(S_S)$ . ■



The preceding lemma allows us to define a functor  $H = \text{Hom}(P, \cdot)S: \mathcal{C} \rightarrow \text{Mod-}S$ , in a similar way as in [1, p. 3]. Indeed, for  $M \in \mathcal{C}$ , the Abelian group  $\text{Hom}(P, M)$  carries a natural structure of a right  $S$ -module, possibly non-unitary. Then

$$H(M) = \text{Hom}(P, M)S$$

is the largest unitary submodule of  $\text{Hom}(P, M)$ . It is straightforward to extend this to a functor  $\mathcal{C} \rightarrow \text{Mod-}S$ .

4.3. PROPOSITION. *The functor  $F$  is naturally isomorphic to  $H$ .*

*Proof.* Let  $M \in \mathcal{C}$ ; then  $FM \cong \text{Hom}_S(S, FM)S$  canonically by [1, Proposition 1.1] and so we have the chain of natural isomorphisms

$$FM \cong \text{Hom}_S(S, FM)S \cong \text{Hom}(GS, M)S = \text{Hom}(P, M)S$$

and we are done. ■

By Lemma 4.2, we can view each element of  $S$  as an endomorphism of  $P$ ; if  $s \in S$ , we put  $sP = \text{im } s$  and  $[s]P = \ker s$ . When  $e = e^2 \in S$ , we have a direct decomposition  $P = eP \oplus [e]P$ . More generally, when  $X \subseteq S$ , we consider  $XP = \sum \{\text{im } s = sP : s \in X\}$ .

4.4. PROPOSITION. *Let  $e = e^2 \in S$ ; then  $eP$  is canonically isomorphic to  $G(eS)$ . Moreover  $SP = P$ .*

*Proof.* Let us prove that  $eP \cong G(eS)$ . Since  $eP \in \text{Gen}(P) = \mathcal{T}$ , we can consider the canonical embedding  $j_e: eP \rightarrow P$ ; then  $Hj_e$  is an injection  $H(eP) \rightarrow H(P) = S$  and it is easy to see that  $\text{im } Hj_e \subseteq eS$ . If  $s = es \in eS$ , then  $s$ , seen as an endomorphism of  $P$ , factors through  $eP$ . Hence  $H(eP) = eS$ .

Consider now the epimorphism

$$\bigoplus_{e=e^2 \in S} eS \rightarrow S \rightarrow 0.$$

Applying  $G$ , we get an epimorphism  $\coprod_e G(eS) \rightarrow P$  and thus an epimorphism  $\coprod_e eP \rightarrow P$ . This implies that  $P = SP$ . ■

The last thing we note is that  $eP$  is small, since  $eS$  is finitely generated, by Proposition 3.6. Putting together these facts, Theorem 3.7, and Theorem 3.8, we have the following proposition, in which we denote by  $H'$  the first derived functor of  $H$ .

4.5. PROPOSITION. *Let  $\mathcal{C}$  be a Grothendieck category, let  $S$  be an lu-ring, and let  $F: \mathcal{T} \rightleftarrows \mathcal{F}_S: G$  be a  $\mathcal{C}$ - $S$ -tilting equivalence. Then there exists an object  $P \in \mathcal{C}$  such that:*

- (1)  $S$  embeds in  $\text{End}(P)$  and  $SP = P$ ;
- (2)  $F$  is canonically isomorphic to  $H = \text{Hom}(P, \cdot)S$ ;
- (3)  $\mathcal{T} = \text{Gen}(P) = \ker H'$  and  $eP$  is small, for any idempotent  $e \in S$ .

We now want to show that the converse of this result holds, thus generalizing [8, Theorem 4.1].

4.6. DEFINITION. Let  $\mathcal{C}$  be a Grothendieck category and let  $P \in \mathcal{C}$ ; let  $S$  be a subring of  $\text{End}(P)$  (not necessarily with unit) so that  $\text{Hom}(P, \cdot)S$  is a functor  $\mathcal{C} \rightarrow \text{Mod-}S$ . We say that  $(P, S)$  is a *tilting pair* in  $\mathcal{C}$  if

- (a)  $SP = P$  and  $S$  is an lu-ring;
- (b)  $eP$  is small, for every idempotent  $e \in S$ ;
- (c)  $\text{Gen}(P) = \ker H'$ , where  $H'$  is the first derived functor of  $\text{Hom}(P, \cdot)S$ .

Note that, when  $S = \text{End}(P)$ , this definition coincides with that of a tilting object in  $\mathcal{C}$  [8, Definition 2.3].

4.7. LEMMA. *Let  $(P, S)$  be a tilting pair in  $\mathcal{C}$ . Then  $\text{Gen}(P) = \text{Pres}(P)$  and  $H = \text{Hom}(P, \cdot)S$  commutes with coproducts.*

*Proof.* We can apply the technique used in [10, Lemma 1.2] to get the equality  $\text{Gen}(P) = \text{Pres}(P)$ . Let  $M \in \text{Gen}(P)$ ; then, setting  $X = \text{Hom}(P, M)S$  as a set, we have an exact sequence

$$0 \rightarrow K \rightarrow \coprod_{\alpha \in X} e_{\alpha} P \xrightarrow{\beta} M \rightarrow 0,$$

where, for  $\alpha \in X$ ,  $e_{\alpha}$  is an idempotent in  $S$  such that  $\alpha e_{\alpha} = \alpha$ ; thus the restriction of  $\alpha$  to  $e_{\alpha} P$  has the same image as  $\alpha$ . The morphism  $\beta$  is the codiagonal. If we apply  $H$  to this sequence, we get that  $H(\beta)$  is surjective and so  $H'(K) = 0$ .

Finally, if  $\alpha \in \text{Hom}(P, M)S$ , its image is the same as that of the restriction of  $\alpha$  to  $e_{\alpha} P$ , which is small; therefore  $H$  commutes with coproducts. ■

Note that  $P$  is also a subgenerator of  $\mathcal{C}$  (i.e., every  $M \in \mathcal{C}$  is a subobject of some  $M' \in \text{Gen}(P)$ ), since every injective object is in  $\text{Gen}(P)$ . Moreover, for every object  $M \in \text{Gen}(P)$ , there exists an epimorphism  $\coprod_{\lambda} e_{\lambda} P \rightarrow M$ , where  $(e_{\lambda})$  is a family of idempotents in  $S$ .

4.8. THEOREM. *Let  $\mathcal{C}$  be a Grothendieck category and let  $(P, S)$  be a tilting pair in  $\mathcal{C}$ . Denote by  $H$  the functor  $\text{Hom}(P, \cdot)S: \mathcal{C} \rightarrow \text{Mod-}S$  and let  $W$  be an injective cogenerator of  $\mathcal{C}$ . Then:*

- (1)  *$H$  has a left adjoint  $T: \text{Mod-}S \rightarrow \mathcal{C}$ ;*
- (2)  *$(\text{Gen}(P), \ker H)$  is a torsion theory in  $\mathcal{C}$  and every injective object in  $\mathcal{C}$  belongs to  $\text{Gen}(P)$ ;*
- (3)  *$(\ker T, \text{Cogen}(HW))$  is a torsion theory in  $\text{Mod-}S$  and every projective object in  $\text{Mod-}S$  belongs to  $\text{Cogen}(HW)$ ;*
- (4)  *$H: \text{Gen}(P) \rightleftarrows \text{Cogen}(HW): T$  is a  $\mathcal{C}$ - $S$ -tilting equivalence.*

*Proof.* (2) [See 8, Sect. 2]. We denote by  $t_P$  the torsion radical associated to this torsion theory: for all  $M \in \mathcal{C}$ ,

$$t_P(M) = \sum \{\text{im } \alpha \mid \alpha \in \text{Hom}(eP, M), e = e^2 \in S\},$$

since  $SP = P$ .

Let us prove (1). For every  $e = e^2 \in R$ ,  $e$  induces a direct decomposition of  $M: P = eP \oplus [e]P$ . Let us call  $\mathcal{P}$  the subcategory consisting of the  $S$ -modules  $eS$ , for  $e = e^2 \in S$ . It is clear that  $\mathcal{P}$  is a family of projective generators of  $\text{Mod-}S$ .

We want to define a functor  $T_0: \mathcal{P} \rightarrow \mathcal{C}$ ; the action on objects is obvious:  $T_0(eS) = eP$ , which is well defined, as  $eS = fS$  implies  $eP = fP$ . Next, a morphism  $\alpha: eS \rightarrow fS$  is the left multiplication by an element of  $fS$ . Thus it induces a morphism  $P \rightarrow fP$ , whose restriction to  $eP$  we denote by  $T_0(\alpha)$ , and this is a good definition of a functor.

By [2, Theorem 3.6.2],  $T_0$  extends uniquely to a functor  $T: \text{Mod-}S \rightarrow \mathcal{C}$ , which is right exact and commutes with filtered colimits, thus also with coproducts, and is right exact [22, Proposition 3.2.10]. We have to prove that  $T$  is a left adjoint of  $H$ .

The unit, i.e., a functorial morphism from the identity to  $HT$ , can be built starting, for  $N \in \text{Mod-}S$ , from an exact sequence

$$S'' = \bigoplus_{\mu} f_{\mu} S \xrightarrow{\beta} S' = \bigoplus_{\lambda} e_{\lambda} S \xrightarrow{\alpha} N \rightarrow 0.$$

We apply  $T$ , obtaining the exact sequence

$$T(S'') \xrightarrow{T\beta} T(S') \xrightarrow{T\alpha} T(N) \rightarrow 0;$$

set  $K = \text{im } T\beta \in \text{Gen}(P)$  and let  $\gamma: T(S'') \rightarrow K$  be the induced epimorphism. By the fact that  $T(eS) = eP$  and that  $eP$  is small, there exist isomorphisms  $\sigma_{S'}: S' \rightarrow HT(S')$  and  $\sigma_{S''}: S'' \rightarrow HT(S'')$ . Applying  $H$  to the

exact sequence

$$0 \rightarrow K \rightarrow T(S') \rightarrow T(N) \rightarrow 0$$

yields an exact sequence, since  $K \in \text{Gen}(P)$ . So we obtain the diagram with exact rows

$$\begin{array}{ccccccc} S'' & \xrightarrow{\beta} & S' & \xrightarrow{\alpha} & N & \longrightarrow & 0 \\ H\gamma \circ \sigma_{S''} \downarrow & & \sigma_{S'} \downarrow \cong & & \downarrow \sigma_N & & \\ H(K) & \longrightarrow & HT(S') & \longrightarrow & HT(N) & \longrightarrow & 0 \end{array}$$

which can be completed in a unique way with a morphism  $\sigma_N: N \rightarrow HT(N)$ . This is easily seen to give the required unit; moreover, by the “five lemma,”  $\sigma_N$  is epic, for every  $N \in \text{Mod-}S$ .

If  $\mathcal{B}$  is a class of objects in a Grothendieck category  $\mathcal{A}$ , we denote by  $\mathcal{B}_{\oplus}$  the full subcategory of  $\mathcal{A}$  whose objects are coproducts of objects in  $\mathcal{B}$ . In particular, if we consider  $\mathcal{P}$  as before and  $\mathcal{Q} = \{eP : e = e^2 \in S\}$ , then the functors  $T$  and  $H$  induce functors  $\mathcal{P}_{\oplus} \rightarrow \mathcal{Q}_{\oplus}$  and  $\mathcal{Q}_{\oplus} \rightarrow \mathcal{P}_{\oplus}$ , respectively. By the fact that both functors commute with coproducts, it is clear that we get an adjunction, where the unit is the same as before; we denote by  $\rho$  the counit.

We want to build a counit  $\rho$  from  $TH$  to the identity. Let  $M \in \mathcal{E}$ ; then  $H(M) = H(t_P(M))$ , so it is sufficient to define  $\rho_M$  for  $M \in \text{Gen}(P)$ . Since  $\text{Gen}(P) = \text{Pres}(P)$ , there exists an exact sequence

$$0 \rightarrow K \xrightarrow{\beta} P' \xrightarrow{\alpha} M \rightarrow 0,$$

where  $K \in \text{Gen}(P)$  and  $P' \in \mathcal{Q}_{\oplus}$ . By the same fact there exists another exact sequence

$$0 \rightarrow K' \xrightarrow{\delta} P'' \xrightarrow{\gamma} K \rightarrow 0,$$

where  $K' \in \text{Gen}(P)$  and  $P'' \in \mathcal{Q}_{\oplus}$ . If we apply  $H$  to both sequences, we get again exact sequences and so we can apply  $T$ , which is right exact. This leaves us with the diagrams with exact rows

$$\begin{array}{ccccccc} TH(K) & \xrightarrow{TH\beta} & TH(P') & \xrightarrow{TH\alpha} & TH(M) & \longrightarrow & 0 \\ & & \rho_{P'} \downarrow \cong & & & & \\ K & \xrightarrow{\beta} & P' & \xrightarrow{\alpha} & M & \longrightarrow & 0 \\ TH(K') & \xrightarrow{TH\delta} & TH(P'') & \xrightarrow{TH\gamma} & TH(K) & \longrightarrow & 0 \\ & & \rho_{P''} \downarrow \cong & & & & \\ K' & \xrightarrow{\delta} & P'' & \xrightarrow{\gamma} & K & \longrightarrow & 0 \end{array}$$

which we can combine into one:

$$\begin{array}{ccccccc}
 TH(P'') & \xrightarrow{TH(\beta\gamma)} & TH(P') & \xrightarrow{TH\alpha} & TH(M) & \longrightarrow & 0 \\
 \rho_{P''} \downarrow \cong & & \rho_{P'} \downarrow \cong & & \rho_M \downarrow \cong & & \\
 P'' & \xrightarrow{\beta\gamma} & P' & \xrightarrow{\alpha} & M & \longrightarrow & 0
 \end{array}$$

The leftmost rectangle commutes because of the adjunction  $\mathcal{C} \rightleftarrows \mathcal{P}$ . Thus there exists a unique  $\rho_M$  making the diagram commute. Standard calculations show that this is the counit we were looking for. Moreover, the “five lemma” shows that  $\rho_M$  is an isomorphism.

The remaining parts are proved by noting that  $(H, T)$  is a strong  $*$ -pair and by observing that there is no problem in producing from the beginning the  $i$ th left derived functor of  $T$ , since the category  $\text{Mod-}S$  has enough projective objects. We have a formula like the one in [8, Lemma 1.4], which is derived from a more general formula in [23, Theorem 11.40],

$$\text{Ext}_S^i(\cdot, HW) \cong \text{Hom}(T_{(i)}(\cdot), W)$$

(this is why we take  $W$  injective). This will easily give the fact that  $\text{Cogen}(HW) = \ker T'$ . The facts that we need (see Theorem 2.4) have already been proved, namely that  $\rho_M$  is monic for every  $M \in \mathcal{C}$  and that  $\sigma_N$  is epic, for every  $N \in \text{Mod-}S$ . ■

## 5. MISCELLANEOUS ASPECTS

Tilting modules are very important in the representation theory of finite dimensional algebras. Therefore we want to show some properties of general tilting equivalences with respect to finitely generated objects. We will limit the discussion to the case when the categories are locally noetherian, reserving the more general context to the statement of an open problem.

A Grothendieck category  $\mathcal{C}$  is called *locally noetherian* if it has a set of generators consisting of noetherian objects.

The following proposition is a generalization of [8, Lemma 6.1].

**5.1. THEOREM.** *Suppose we are given a  $\mathcal{C}_1$ - $\mathcal{C}_2$ -tilting equivalence  $F: \mathcal{T}_1 \rightleftarrows \mathcal{T}_2: G$ , where  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are locally noetherian. Then, for every noetherian object  $M \in \mathcal{C}_1$  and  $N \in \mathcal{C}_2$ ,  $FM$ ,  $F'M$ ,  $GN$ , and  $G'N$  are noetherian.*

*Proof.* It follows from the assumptions on  $\mathcal{T}_2$  that there exists a set of noetherian generators for  $\mathcal{C}_2$  consisting of objects in  $\mathcal{T}_2$ . Hence there exists an exact sequence  $0 \rightarrow N_2 \rightarrow N_1 \rightarrow N \rightarrow 0$ , where  $N_1, N_2 \in \mathcal{T}_2$  are

noetherian. Applying  $G$  we get the exact sequence

$$0 \rightarrow G'N \rightarrow GN_2 \rightarrow GN_1 \rightarrow GN \rightarrow 0,$$

since  $G'N_1 = 0$ . Now we know that  $GN_1$  and  $GN_2$  are small; we can use a similar technique as in [9, proof of Proposition 1.9] to get that they are finitely generated, hence noetherian. Indeed, the fact that is needed is that a coproduct of injective objects in  $\mathcal{E}$  is still injective; this holds in any locally noetherian Grothendieck category.

Take now an epimorphism  $f: \coprod_{\lambda} N_{\lambda} \rightarrow FM$ , where every  $N_{\lambda} \in \mathcal{F}_2$  is noetherian, and let  $X$  be its kernel. Then the sequence  $0 \rightarrow GX \rightarrow G(\coprod_{\lambda} N_{\lambda}) \rightarrow M \rightarrow 0$  is exact and so  $Gf$  factors through a finite direct sum; hence we have a sequence  $0 \rightarrow Y \rightarrow \coprod_{i=1}^n GN_{\lambda_i} \rightarrow GFM \rightarrow 0$ , where the terms are noetherian. By applying  $F$  we get the exact sequence

$$0 \rightarrow FY \rightarrow \coprod_{i=1}^n N_{\lambda_i} \rightarrow FM \rightarrow F'Y \rightarrow 0.$$

Then we need only to prove that  $F'M$  is noetherian, for every noetherian  $M \in \mathcal{E}_1$ . We need only to show that  $F'M$  is small. Now, for any family of objects  $X_{\lambda} \in \mathcal{E}_2$ ,

$$\begin{aligned} \operatorname{Hom}\left(F'M, \coprod_{\lambda} X_{\lambda}\right) &\cong \operatorname{Hom}\left(M, G'\left(\coprod_{\lambda} X_{\lambda}\right)\right) && \text{(adjunction)} \\ &\cong \operatorname{Hom}\left(M, \coprod_{\lambda} G'X_{\lambda}\right) && \text{(Theorem 3.4(2))} \\ &\cong \coprod_{\lambda} \operatorname{Hom}(M, G'X_{\lambda}) && (M \text{ is noetherian}) \\ &\cong \coprod_{\lambda} \operatorname{Hom}(F'M, X_{\lambda}) && \text{(adjunction)} \end{aligned}$$

and the thesis follows. ■

We end with an open problem on tilting equivalences. It is well known that any tilting module is finitely generated (beware: there are many definitions of tilting modules in the literature; we opt to consider only tilting modules giving tilting equivalences, i.e., the modules that Colpi and Trlifaj [10] call *classical tilting modules*).

The problem is the following: Assume a  $\mathcal{E}_1$ - $\mathcal{E}_2$ -tilting equivalence  $F: \mathcal{F}_1 \rightleftarrows \mathcal{F}_2: G$  is given; is it true that  $G$  takes finitely generated objects of  $\mathcal{F}_2$  to finite generated objects?

Two results could provide a positive answer: (1)  $G'$  commutes with filtered colimits or (2)  $F$  commutes with filtered colimits of objects in  $\mathcal{F}_1$ .

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